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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/463,042	HANSHAN DONG	360.6933USU

INTERNATIONAL APPLICATION NO.	
PCT/GB98/02082	
I.A. FILING DATE	PRIORITY DATE
07/15/1998	07/19/1997

PAUL D GREELEY
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CONFIRMATION NO. 5798

371 FORMALITIES LETTER



OC000000008219476

Date Mailed: 06/04/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Preliminary Amendments

RECEIVED

JUN 13 2002

OHLANDT, GREELEY,
RUGGIERO & PERLE, LLP

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

LAMONT M HUNTER

Telephone: (703) 305-3686

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/463,042	PCT/GB98/02082	360.6933USU

FORM PCT/DO/EO/905 (371 Formalities Notice)

PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Dong et al.
Serial No.: 09/463,042
Filing Date: July 15, 1998
For: METHOD OF CASE HARDENING
Examiner: Not Yet Assigned
Art Unit: Not Yet Assigned
Docket No.: 360.6933USU
Confirmation No. 5798



**BOX MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231**

Dear Sir:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND
PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

Transmitted herewith are the following documents pertaining to the above-identified application:

- XXX** A copy of the Notice to File Missing Parts of Application Filing Date Granted.
- XXX** Declaration and Power of Attorney form executed by **Hanshan Dong, Peter Harlow Morton, Andrew Bloyce, Thomas Bell;**
- XXX** An Assignment of the Invention to : **The University of Birmingham**, including \$40.00 recordation fee and Assignment Recordation Cover Sheet;
- _____ Sheets of Formal Drawings;
- XXX** Small Entity Declaration;
- _____ Information Disclosure Statement (w/copies of patents) and Form PTO-1449;
- _____ A Certified Copy of the Priority Application;

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$740.00
Total Claims	19 - 20 =	0	x \$18.00	\$0.00
Independent Claims	3 - 3 =	0	x \$84.00	\$0.00
Multiple Dependent Claim Fee		x \$280.00 = \$0.00		
TOTAL FILING FEE		\$740.00		

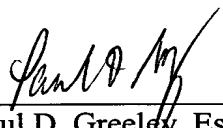
1/2 FILING FEE FOR SMALL ENTITY	\$370.00 (PAID)
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XXX A check in the amount of **\$105.00** to cover the surcharge (\$65.00) and assignment recordation fee (\$40.00).

XXX The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

June 21, 2002

Date


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CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: BOX MISSING PARTS, COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON **JUNE 21, 2002**.

Jeffrey J. Scepanski
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SIGNATURE

06/21/02
DATE

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01 FC:154 25.00 CH 105.00 OP